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# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, required copies of documents listed thereon, and a concise explanation of their relevance is described below or enclosed herewith per 37 CFR 1.97.

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/034,659        |
| Filing Date            | DECEMBER 28, 2001 |
| First Named Inventor   | DONGGE LI ET AL.  |
| Group Art Unit         | 2621              |
| Examiner Name          |                   |
| Attorney Docket Number | US 010181         |

These documents may be relevant in that they have been (check one):

- ☐ considered in drafting the specification of the above referenced application;
- ☐ cited in the specification of the above-referenced application;
- ☐ previously submitted or cited in U.S. patent application(s) which are relied on for an earlier effective filing date under 35 U.S.C. 120 (no copies required);
- ☒ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed; or
- ☐ otherwise a concise explanation of the relevance of each document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is append hereto.

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if the date of this IDS may be after the date of a final Office Action - per §1.97(e) check one:

- ☐ I hereby certify that these documents were first cited in any communication with a foreign Patent Office for a counterpart foreign application not more than three (3) months ago; or
- ☐ I hereby certify that not one of these documents was cited in any communication with a foreign Patent Office in a counterpart foreign application, nor was any known to any individual designated in §1.56(c) more than three (3) months ago.

Please charge any required fee under § 1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

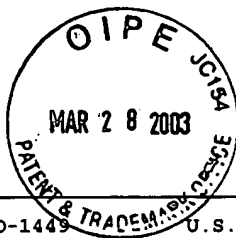
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                   |                  |           |
|-------------------|-------------------|------------------|-----------|
| Name (Print Type) | Edward W. Goodman | Registration No. | 28,613    |
| Signature         |                   | Date             | 3/20/2003 |

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office te# : \_\_\_\_\_ on the date below:

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| Name (Print Type) | BURNETT JAMES | Date | 3/25/2003 |
| Signature         |               |      |           |



|   |     |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|---|-----|--|---|---------------|---|---|---|------|---------|-----------------|-----------|------------------------|------|---|--|
| Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE   |     | Atty. Docket No.   |   | Serial No.    |   |   |   |      |         |                 |           |                        |      |   |  |
|   |     | US 010181  |   | 10/034,659    |   |   |   |      |         |                 |           |                        |      |   |  |
|   |     | Applicant<br>DONGGE LI ET AL.  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
| INFORMATION DISCLOSURE CITATION<br>(Use several sheets if necessary)  |     | Filing Date<br>12/28/01  |   | Group<br>2621 |   |   |   |      |         |                 |           |                        |      |   |  |
|   |     | RECEIVED<br>MAR 31 2003<br>Technology Center 2600  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
| U.S. PATENT DOCUMENTS   |     |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
| Ex. Int.  |     | Document Number  |   |               |   |   |   | Date | Name    | Class           | Sub-class | Filing Date If Approp. |      |   |  |
|   | AA6 | 6  | 3 | 0             | 1 | 3 | 7 | 0    | 10/9/01 | STEFFENS ET AL. | 382       | 103                    |      |   |  |
|   | AB  | 5  | 9 | 0             | 1 | 2 | 4 | 4    | 5/4/99  | SOUA ET AL.     | 382       | 190                    |      |   |  |
|   | AC  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AD  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AE  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AF  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
| FOREIGN PATENT DOCUMENTS  |     |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   |     | Document Number  |   |               |   |   |   | Date | Country | Class           | Sub-class | Trans.                 |      |   |  |
|   |     |  |   |               |   |   |   |      |         |                 |           | Yes                    | No   |   |  |
|   | AG  | 1  | 1 | 3             | 9 | 2 | 7 | 0    | A 2     | 4/10/01         | EUROPE    | G09K                   | 9/00 | X |  |
|   | AH  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AI  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AJ  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AK  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
| OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)  |     |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AL  | Tsapatsoulis et al: "Facial image indexing in multimedia database: Pattern Analysis And Applications, 2001, Springer-Verlag, UK, vol. 4, no. 2-3, pages 93-107   |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AM  | Lanitis et al: "A unified approach to coding and interpreting face images" Proceedings. Fifth International Conference On Computer Vision (Cat. No.95CB35744) Proceedings Of IEEE International Conference On Computer Vision, Cambridge, MA, USA, June 20-23, 1995, pages 368-373 |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
|   | AN  |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |
| Examiner  |     |  |   |               |   |   |   |      |         | Date Considered |           |                        |      |   |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant. |     |  |   |               |   |   |   |      |         |                 |           |                        |      |   |  |